
This paper will be considered in public

1 Summary

- 1.1 This paper provides an update on the project assurance work undertaken during Period 7 and Period 8 of 2022/23 (18 September to 12 November 2022). Two programme reviews were undertaken during this time, with the Independent Investment Programme Advisory Group (IIPAG) involved in both. In the same timeframe four project assurance reviews were undertaken, with IIPAG involved in two of these.
- 1.2 These reviews gave rise to a total of 39 recommendations being made, of which three were considered to be critical issues. Critical issues are those that the TfL Project Assurance team or IIPAG believe should be addressed before projects proceed to the next stage.
- 1.3 A paper is included on Part 2 of the agenda which contains exempt supplemental information that is exempt from publication by virtue of paragraph 3 of Schedule 12A of the Local Government Act 1972 in that it contains information relating to the business affairs of TfL. Any discussion of that exempt information must take place after the press and public have been excluded from this meeting.

2 Recommendation

- 2.1 **The Committee is asked to note the paper and the exempt supplementary information on Part 2 of the agenda.**

3 Background

- 3.1 The TfL Project Assurance update provides the Committee with a summary of the second line assurance, provided by TfL's Project Assurance team, and third line assurance, provided by IIPAG.

4 Summary of Project Assurance Activity

- 4.1 From the reviews undertaken, TfL Project Assurance made 28 general recommendations and raised two critical issues, with all of these being agreed with the project teams concerned.
- 4.2 IIPAG made eight general recommendations and raised one critical issue, with all of these agreed with the respective project teams.
- 4.3 The following graphs show the number of open recommendations, the number that are overdue, and the number closed in Quarter 1, Quarter 2 and Periods 7 and 8 of 2022/23. There are no overdue critical issues.

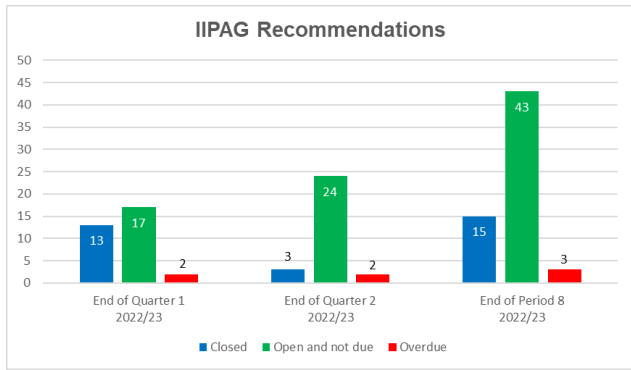


Figure 1: IIPAG Recommendations

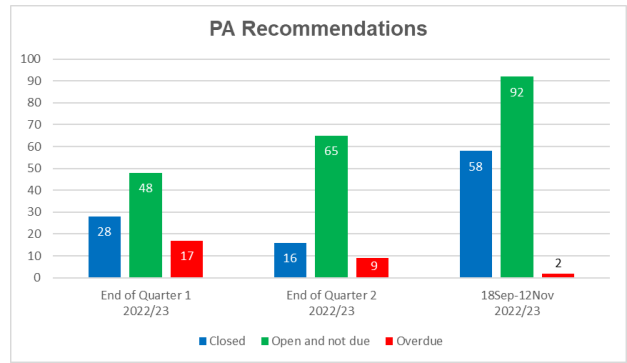


Figure 2: Project Assurance Recommendations

4.4 The following graph shows the length of time that the overdue recommendations have been overdue by. The information shows critical issues and general recommendations and the business area that the recommendation relates to (CCO being Chief Capital Officer, COO is Chief Operating Officer, CCSO is Chief Customer and Strategy Officer and CFO is Chief Finance Officer).

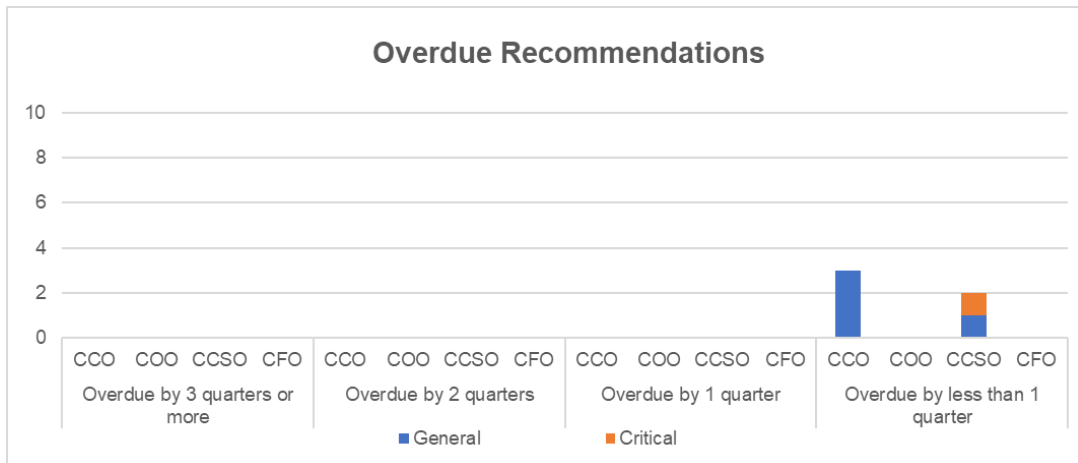


Figure 3: Breakdown of Overdue Recommendations

List of appendices to this paper:

Exempt supplementary information is included in a paper on Part 2 of the agenda.

List of background papers:

None

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